



COPY OF PAPERS
ORIGINALLY FILED

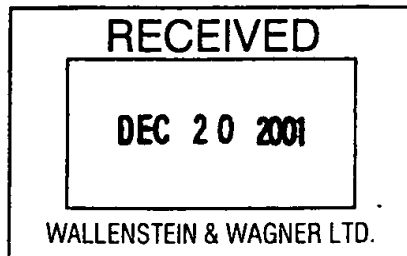
COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,253	11/27/2001	H. Michael Lord	1967 P 032

Wallenstein & Wagner, Ltd.
53rd Floor
311 S. Wacker Drive
Chicago, IL 60606-6622



CONFIRMATION NO. 1351

FORMALITIES LETTER



OC000000007188435

Date Mailed: 12/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$768.
 - \$432 for 48 total claims over 20.
 - \$336 for 8 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1203.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/15/2002 SDEN3081 00000028 09995253

01 FC:201
02 FC:202
03 FC:203
04 FC:205

370.00 OF
336.00 OF
432.00 OF
65.00 OF

TO DOCKETING

*A copy of this notice **MUST** be returned with the reply.*

Mar

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2022-03-22 15:52:00

Attorney Docket No. 1967 P 032
Application Serial No. 09/995,253

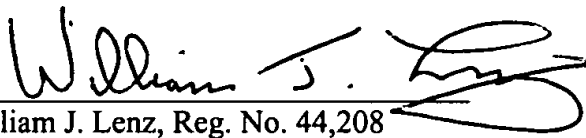
Page 2

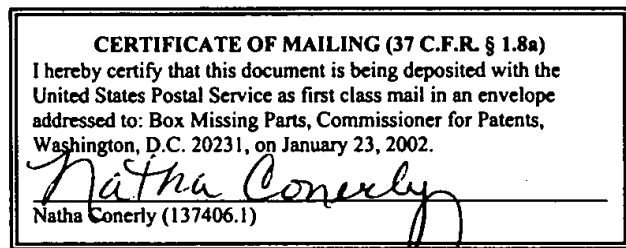
Drawings filed concurrently herewith. In preparation of the formal drawings, it was determined that the figures of the drawings would not fit on the same number of drawing sheets as originally submitted while maintaining compliance with the provisions of 37 C.F.R. 1.84. A Preliminary Amendment to correct references to the figures in the Specification is also filed concurrently herewith.

The filing requirements of this application should now be complete. You are hereby authorized to debit any payment deficiencies or credit any overpayments only with regard to the above-identified Application to our Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

Respectfully submitted,

Dated: January 23, 2002

By: 
William J. Lenz, Reg. No. 44,208
Customer No. 26968
Wallenstein & Wagner, Ltd.
311 South Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6630
(312) 554-3300

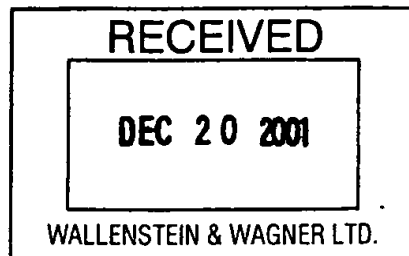




UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,253	11/27/2001	H. Michael Lord	1967 P 032

 Wallenstein & Wagner, Ltd.
 53rd Floor
 311 S. Wacker Drive
 Chicago, IL 60606-6622


CONFIRMATION NO. 1351

FORMALITIES LETTER



0000000007188435

 COPY OF PAPERS
 ORIGINALLY FILED Date Mailed: 12/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$768.
 - \$432 for 48 total claims over 20.
 - \$336 for 8 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1203.

 RECEIVED
 MAR 29 2002
 Technology Center 2100

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/15/2002 SDENR051 00000028 09995253

 01 FD:201
 02 FD:202
 03 FD:203
 04 FD:205

 370.00 OF
 336.00 OF
 432.00 OF
 65.00 OF

TO DOCKETING

*A copy of this notice **MUST** be returned with the reply.*

Mar
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



26968

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of

H. MICHAEL LORD

Application No. 09/995,253

Filed: November 27, 2001

For: METHOD AND APPARATUS FOR
EXCHANGING DATA BETWEEN A
PRIMARY COMPUTER SYSTEM AND
AN EXTERNAL COMPUTER SYSTEM
TO ENSURE TRANSACTIONAL
RECONCILIATION BETWEEN THE
SYSTEMS

Examiner: Not Assigned

Art Unit: 2151

COPY OF PAPERS
ORIGINALLY FILED

REQUEST FOR APPROVAL AND SUBMISSION OF FORMAL DRAWINGS

BOX MISSING PARTS
Commissioner For Patents
Washington, DC 20231

RECEIVED
MAR 29 2002
Technology Center 2100

Dear Sir:

The Applicant of the above-identified application submits the attached formal drawing sheets in connection with the Response to Notice to File Missing Parts of Non-Provisional Application and Preliminary Amendment filed concurrently herewith. Approval of these drawings is respectfully requested.

The formal drawings reflect changes to comply with 37 C.F.R. § 1.84. Originally-submitted FIG. 5A has been divided into FIGS. 5A - 5B and originally submitted FIG. 5B has been divided into FIGS. 5C - 5D to facilitate compliance with 37 C.F.R. § 1.84. The text within the box indicated by reference number 800 of originally-submitted FIG. 5A has been changed from "Process

Page 2

Alternate Feature (Fig. 5B)" to "Process Alternate Feature (Fig. 5C)" so the changes to the figure designations.

Respectfully submitted,

Date: January 23, 2002

By: William J. Lenz

William J. Lenz, Reg. No. 44,208

Customer No. 26968

WALLENSTEIN & WAGNER, LTD.

311 South Wacker Drive - 53rd Floor

Chicago, Illinois 60606-6622

312-554-3300

